

# Search for Production of Heavy Particles Decaying to Top-Quarks and Invisible Particles in $p\bar{p}$ collisions at $\sqrt{s} = 1.96$ TeV

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We present a search for a new particle  $T'$  decaying to a top-quark via  $T' \rightarrow t + X$ , where  $X$  is an invisible particle. In a data sample with  $4.8 \text{ fb}^{-1}$  of integrated luminosity collected by the CDF II detector at Fermilab in  $p\bar{p}$  collisions with  $\sqrt{s} = 1.96 \text{ TeV}$ , we search for pair production of  $T'$  in the lepton+jets channel,  $p\bar{p} \rightarrow t\bar{t} + XX \rightarrow \ell\nu b q q' b + XX$ . We interpret our results primarily in terms of a model where  $T'$  are exotic fourth generation quarks and  $X$  are dark matter particles. The data are consistent with standard model expectations, and we set 95% confidence level limits on the generic production of  $T'\bar{T}' \rightarrow t\bar{t} + XX$ . We apply these limits to the dark matter model and exclude the fourth generation exotic quarks  $T'$  at 95% confidence level up to  $m_{T'} = 360 \text{ GeV}/c^2$  for  $m_X \leq 100 \text{ GeV}/c^2$ .

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The precise nature of dark matter remains elusive despite an intensive program of research [1], though it is clear that it must be long-lived on cosmological time scales. This long lifetime could be due to a conserved charge under an unbroken symmetry. None of the unbroken symmetries of the standard model (SM) provide such a charge, so it follows that dark matter must be charged under a new, unbroken symmetry. The prospects of creating dark matter at particle colliders are excellent, but only if the dark matter particles  $X$  couple to standard model particles directly or indirectly. One potential mechanism is via a connector particle  $Y$ , which carries SM charges so that it can be produced at particle colliders as well as carrying the new dark charge, so that it can decay to the dark matter particle,  $Y \rightarrow f + X$ , where  $f$  is a SM particle. A compelling model [2] uses an exotic fourth generation up-type quark  $T'$  as the connector particle, which decays to a top-quark and dark matter,  $T' \rightarrow t + X$ . Current direct and indirect bounds on such exotic quarks restrict their masses to be between 300 and 600 GeV/ $c^2$  [2].

The pair production of such exotic quarks and their subsequent decay to top-quarks and dark matter has a collider signal comprising of top quark pairs ( $t\bar{t}$ ) and missing transverse energy ( $\cancel{E}_T$ ) due to the invisible dark matter particles. These types of signals are of great interest as they appear in numerous new physics scenarios including many dark matter motivated models, for example little Higgs models with  $T$ -parity conservation [3] and models in which baryon and lepton numbers are gauge symmetries [4]. Supersymmetry, which includes a natural dark matter candidate and provides a framework for unification of the forces, also predicts a  $t\bar{t} + \cancel{E}_T$  signal from the decay of a supersymmetric partner of the top-quark ( $\tilde{t}$ ) to a top-quark and the lightest supersymmetric particle [5],  $\tilde{t} \rightarrow t + \chi^0$ . There are currently no experimental bounds on a new heavy particle  $Y$  decaying via  $Y \rightarrow t + X$ .

This Letter reports a search for such a generic signal  $t\bar{t} + \cancel{E}_T$  via the pair production of a heavy new particle  $T'$  with prompt decay  $T' \rightarrow t + X$ . We consider the mode  $p\bar{p} \rightarrow t\bar{t} + X X \rightarrow W^+ b W^- \bar{b} + X X$  in which one  $W$  decays leptonically (including  $\tau$  decays to  $e$  or  $\mu$ ) and one decays hadronically to  $q_u \bar{q}_d$ , this decay mode allowing for large branching ratios while reducing non-SM  $t\bar{t}$  backgrounds to a manageable level. Such a signal is similar to SM top-quark pair production and decay, but with additional missing transverse energy due to the invisible particles.

We analyze a sample of events corresponding to an in-

tegrated luminosity of  $4.8 \pm 0.3 \text{ fb}^{-1}$  recorded by the CDF II detector [6], a general purpose detector designed to study collisions at the Fermilab Tevatron  $p\bar{p}$  collider at  $\sqrt{s} = 1.96 \text{ TeV}$ . CDF is a charged-particle tracking system consisting of a silicon microstrip tracker and a drift chamber that are immersed in a 1.4 T magnetic field [7]. Electromagnetic and hadronic calorimeters surrounding the tracking system measure particle energies and an additional system of drift chambers located outside the calorimeters detect muons.

Events enter this sample by satisfying selection criteria of the CDF II data acquisition (trigger) system which requires an  $e$  or  $\mu$  candidate [8] with transverse momentum  $p_T$  [9] greater than 18 GeV/ $c$ . After trigger selection, events are retained only if the electron or muon candidate has a pseudorapidity  $\eta$  [9] magnitude less than 1.1,  $p_T \geq 20 \text{ GeV}/c$  and satisfy the usual CDF identification and isolation requirements [8]. We reconstruct Jets in the calorimeter using the JETCLU [10] algorithm with a clustering radius of 0.4 in azimuth-pseudorapidity space and corrected using the techniques outlined in Ref. [11]. Jets are selected if they have  $E_T \geq 15 \text{ GeV}$  and  $|\eta| < 2.4$ . Missing transverse energy [12] is reconstructed using fully corrected calorimeter and muon information [8].

Production of  $T'$  pairs and their subsequent decays to top-quark pairs and two dark matter particles would appear as events with a charged lepton and missing transverse energy from one leptonically decaying  $W$  and the two dark matter particles, and four jets from the two  $b$  quarks and the hadronic decay of the second  $W$  boson. We select events with at least one electron or muon, at least four jets, and large missing transverse energy. The missing transverse energy in a signal event depends on the masses  $m_{T'}$  and  $m_X$ . For each pair of signal masses we optimize for the minimum amount of missing transverse energy required (ranging from 100 GeV to 160 GeV).

We model the production and decay of  $T'$  pairs with MADGRAPH [13]. Additional radiation, hadronization and showering are described by PYTHIA [14]. The detector response for all simulated samples is modeled by the GEANT-based CDF II detector simulator [15].

The dominant SM background is top-quark pair production. We model this background using PYTHIA  $t\bar{t}$  production with  $m_t = 172.5 \text{ GeV}/c^2$ , which is compatible with the best current determination [16]. We normalize the  $t\bar{t}$  background to the NLO cross section [17], and confirm that it is well modeled by examining  $t\bar{t}$ -dominated regions in the data.

The second dominant SM background process is the associated production of  $W$  boson and jets. Samples of simulated  $W$ +jets events with light- and heavy-flavor jets are generated using the ALPGEN [18] program, interfaced with parton-shower model from PYTHIA. The  $W$ +jets samples are normalized to the measured  $W$  cross section, with an additional multiplicative factor for the relative contribution of heavy- and light-flavor jets, follow-

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ing the same technique utilized previously in measuring the top-quark pair production cross section [19]. Multi-jet background, in which a jet is misreconstructed as a lepton, is modeled using a jet-triggered sample normalized to a background-dominated region at low missing transverse energy. The SM backgrounds due to single top and diboson production are modeled using MADGRAPH+PYTHIA and PYTHIA respectively and normalized to next-to-leading order cross sections [20].

We differentiate the signal events from these backgrounds by comparing the reconstructed transverse mass of the leptonically decaying  $W$  candidate,

$$m_T^W \equiv m_T(E_T^\ell, \cancel{E}_T) = \sqrt{2|E_T^\ell| |\cancel{E}_T| (1 - \cos(\Delta\phi(E_T^\ell, \cancel{E}_T)))},$$

where  $E_T^\ell$  is the transverse energy of the lepton and  $\cancel{E}_T$  is the missing transverse energy. In background events, the  $\cancel{E}_T$  comes primarily from the neutrino in  $W \rightarrow \ell\nu$  decay, and  $m_T^W$  will show a strong peak at the  $W$ -boson mass. The signal event,  $T' \rightarrow t + X$ , has additional missing transverse energy due to the invisible particles  $X$  and thus does not reconstruct the  $W$ -mass in  $m_T^W$ . Figure 1 shows the  $m_T^W$  distributions of the backgrounds compared to the signals expectations.

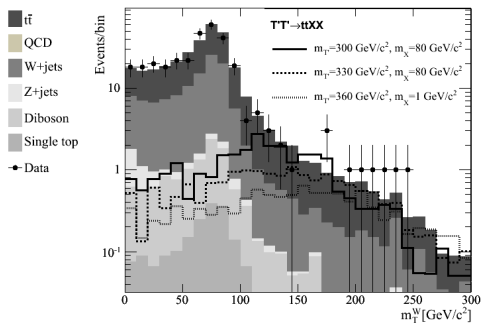


FIG. 1: Reconstructed transverse mass of the  $W$ ,  $m_T^W$ , for the standard model backgrounds, the observed data, and for three choices of  $(m_{T'}, m_X)$ .

We consider several sources of systematic uncertainty on both the background rates and distributions, as well as on the expectations for the signal. Each affects the expected sensitivity to new physics expressed as an expected cross section upper limit in the no-signal assumption. The dominant systematic uncertainties are the jet energy scale [11], contributions from additional interactions, and descriptions of initial and final state radiation [21]. In each case, we treat the unknown underlying quantity as a nuisance parameter and measure the distortion of the  $m_T^W$  spectrum for positive and negative fluctuations. As mentioned before we optimize the minimum missing transverse energy required for each signal point, Table I compares the number of events expected

with uncertainties for backgrounds and signals to data for two example missing transverse energy cuts.

TABLE I: Number of events for example signal points compared to backgrounds and data ( $4.8 \text{ fb}^{-1}$ ) for two  $\cancel{E}_T$  cuts after initial selection is made.

Cut:	$\cancel{E}_T \geq 100 \text{ GeV}$	$\cancel{E}_T \geq 150 \text{ GeV}$
$T'T' \rightarrow ttXX$ [ $\text{GeV}/c^2$ ]		
$m_{T'}, m_X = 300, 90$	$22.9^{+5.8}_{-4.7}$	$4.1^{+2.4}_{-2.1}$
$m_{T'}, m_X = 310, 80$	$22.6^{+4.9}_{-5.1}$	$6.4^{+2.3}_{-2.6}$
$m_{T'}, m_X = 330, 70$	$17.6^{+3.7}_{-3.6}$	$7.3^{+2.5}_{-2.4}$
$m_{T'}, m_X = 350, 1$	$13.1^{+2.7}_{-2.8}$	$6.7^{+2.0}_{-1.9}$
$t\bar{t}$	$189^{+54}_{-50}$	$26.3^{+11.6}_{-9.8}$
W+jets	$105^{+31}_{-14}$	$16.6^{+4.5}_{-2.1}$
Single top	$1.86 \pm 0.2$	$0.18 \pm 0.02$
Diboson	$9.69 \pm 0.9$	$1.53 \pm 0.1$
Z+jets	$4.00 \pm 0.4$	$0.46 \pm 0.05$
QCD	$0.04 \pm 0.01$	$0.04 \pm 0.01$
Total Background	$310^{+80}_{-64}$	$45^{+14}_{-11}$
Data	309	42

We validate our modeling of the SM backgrounds in two background-dominated control regions: large  $m_T^W$  region in events with high missing transverse energy and exactly three jets, and four-jet events in events with small missing transverse energy ( $< 100 \text{ GeV}$ ). Figure 2 shows good agreement of our background modeling with data in the control regions.

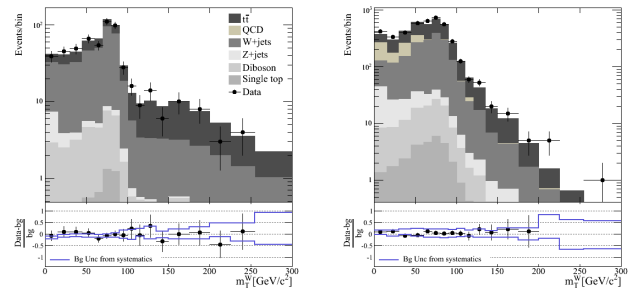


FIG. 2: Reconstructed transverse mass of the  $W$ ,  $m_T^W$ , in signal-depleted control regions. Left, events with at least four jets and small missing transverse energy ( $< 100 \text{ GeV}$ ). Right, events with exactly three jets and large missing transverse energy ( $> 100 \text{ GeV}$ ), the last two data points in  $\frac{\text{Data}-bg}{bg}$  are in the overflow.

There is no evidence for the presence of  $T' \rightarrow t + X$  events in the data. We calculate 95% C.L. upper limits on the  $T' \rightarrow t + X$  cross section, by performing a binned maximum-likelihood fit in the  $m_T^W$  variable, allowing for

systematic and statistical fluctuations via template morphing [22]. We use the likelihood-ratio ordering prescription [23] to construct classical confidence intervals in the theoretical cross section by generating ensembles of simulated experiments that describe expected fluctuations of statistical and systematic uncertainties on both signal and backgrounds. The observed limits are consistent with expectation in the background-only hypothesis. For a few example signal mass points we tabulate the expected and observed limits (see Table II). We convert the observed upper limits on the pair-production cross sections to an exclusion curve in mass parameter space for the dark matter model [2] involving fourth generation quarks, see Figure 3.

TABLE II: Theoretical cross sections,  $\sigma_{NLO}$  [17, 24], expected 95% C.L. upper limit on  $T'\bar{T}'$  production cross-section,  $\sigma_{exp}$ , the range of expected limits which includes 68% of pseudo-experiments, and the observed limit,  $\sigma_{obs}$ , for representative signal points in  $(m_{T'}, m_X)$ .

$m_{T'}, m_X$ (GeV/ $c^2$ )	$\sigma_{NLO}$ [pb]	$\sigma_{exp}$ [pb]	+34%	-34%	$\sigma_{obs}$ [pb]
200,1	2.88	1.31	1.86	0.83	1.21
220,40	1.97	1.40	2.17	0.93	1.20
260,1	0.63	0.23	0.40	0.14	0.20
280,1	0.38	0.16	0.27	0.09	0.15
280,20	0.38	0.18	0.29	0.11	0.17
280,40	0.38	0.17	0.27	0.11	0.12

$m_{T'}, m_X$ (GeV/ $c^2$ )	$\sigma_{NLO}$ [pb]	$\sigma_{exp}$ [pb]	+34%	-34%	$\sigma_{obs}$ [pb]
300,100	0.23	0.34	0.51	0.24	0.39
310,90	0.18	0.19	0.29	0.11	0.21
320,80	0.14	0.15	0.24	0.08	0.12
350,50	0.06	0.07	0.10	0.04	0.02
360,110	0.05	0.09	0.19	0.05	0.09
370,1	0.04	0.06	0.10	0.04	0.05

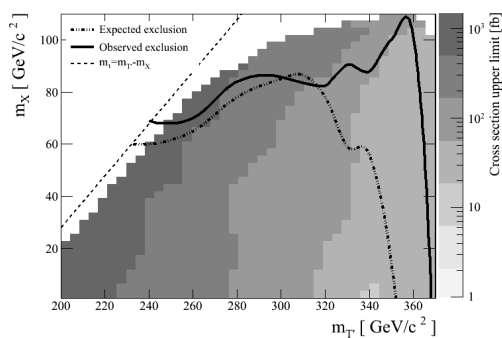


FIG. 3: Observed versus expected exclusion in  $(m_{T'}, m_X)$  along with the cross section upper limits.

In conclusion, we have searched for new physics particles  $T'$  decaying to top-quarks with invisible particles

$X$  with a detector signature of  $t\bar{t} + \cancel{E}_T$ . We calculate upper limits on the cross section of such events and exclude a dark matter model involving exotic fourth generation quark up to  $m_{T'} = 360$  GeV/ $c^2$  for  $m_X \leq 100$  GeV/ $c^2$ . Our cross section limits on the generic decay,  $T' \rightarrow t + X$ , may be applied to the many other models that predict the production of a heavy particle  $T'$  decaying to top-quarks and invisible particles  $X$ , such as the supersymmetric process  $\tilde{t} \rightarrow t + \chi^0$ .

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